ANPOR 2nd Annual Conference (28-30 November 2014, Niigata, Japan)

Citizen's Political Efficacy and Participation: Comparative Perspective in Shanghai, Hong Kong, Macau, and Taipei

> **Political Efficacy and Participation in Hong Kong: Quality versus Quantity**

> > Robert CHUNG Winnie LEE

The University of Hong Kong

29 November 2014

## **Outline of Presentation**

## \* Hong Kong

- \* From British Colony to SAR of People Republic of China
- \* One Country, Two Systems
- \* Pursuit of Democracy
- \* HK People's Political Efficacy

## \* Measurement

- \* Quality versus quantity
- **\* Reflections**

## **Hong Kong** From British Colony to SAR of People Republic of China



## Sino-British Joint Declaration 19 December 1984



## **One Country, Two Systems**



(The Basic Law of the Hong Kong SAR)

### Article 1

The Hong Kong Special Administrative Region is an inalienable part of the People's Republic of China.

### Article 2

The National People's Congress authorizes the Hong Kong Special Administrative Region to exercise *a <u>high degree of autonomy</u> and enjoy executive, legislative and independent judicial power*, including that of final adjudication, in accordance with the provisions of this Law.

### Article 3

The executive authorities and legislature of the Hong Kong Special Administrative Region shall be composed of permanent residents of Hong Kong in accordance with the relevant provisions of this Law.

### Article 4

The Hong Kong Special Administrative Region shall *safeguard the rights and freedoms of the residents of the Hong Kong* Special Administrative Region and of other persons in the Region in accordance with law.

### Article 5

The socialist system and policies shall not be practised in the Hong Kong Special Administrative Region, and *the previous capitalist system and way of life shall remain unchanged for 50 years.* 

### 市民對一國兩制的信心程度(半年結) People's Confidence in "One Country, Two Systems" (half-yearly average) (1-6/1993 - 7-12/2014)



「冇信心』百分比」以「負向百分比」表示。 "% of "Not Confident" is presented as "negative % of "Confident".







## **Umbrella Movement 2014**











## **Citizens' Influence to Government Policy**



[Qn] Please rate on a scale of 0-10, how much influence you think you, as a citizen, have on Government policy making, with 0 meaning no influence at all, 10 meaning very big influence, and 5 meaning half-half.

# **Government Officials Care about Public Opinion**



[Qn] Please rate on a scale of 0-10, how much do you think the Government officials care about public opinion, with 0 meaning not care at all, 10 meaning care very much, and 5 meaning half-half.

## **Citizens' Comprehension of Political Issues**



[Qn] Please rate on a scale of 0-10, general citizens' level of understanding of political issues, with 0 meaning does not understand at all, 10 meaning completely understand, and 5 meaning half-half.

## **Opinion on Random Sample to Represent HK in Policy Making Deliberation**



[Qn] Assuming 500 citizens selected randomly to represent everyone in HK, and they deliberate on the issues faced by LegCo or Government, hear the views, then discuss and submit their views to the LegCo or Government, would this be a good or a bad idea?

# **About HKU POP**

- \* June 1991 : Establishment of *Public Opinion Programme (POP)* at The University of Hong Kong
  - \* To collect and study public opinion on topics which could be of interest to academics, journalists, policy-makers, and the general public
  - \* The first of its kind established by an academic institution in Hong Kong
  - \* Directly under the Faculty of Social Sciences of the University of Hong Kong
- \* By November 2014, POP has conducted over <u>1,400</u> independent surveys, mostly supported by outside bodies and funding.

# **Conventional Surveys**

# **Types of Conventional Surveys**

- \* Random telephone surveys
- \* Face-to-face interviews
- \* Mail surveys
- \* Online surveys
- \* Household surveys
- \* Focus groups



# **Areas of Interest**

- \* Electoral study
- Government policies
- \* Media performance
- \* General political issues
- Societal and livelihood issues
- \* Health issues

# **Deliberative Polling**

# **Deliberative Polling**



# **DP Development in Hong Kong**

- \* DP Workshop, Feb 2009, HK
- \* Deliberative Forum, Feb 2010, HK (on policy reform)
- \* DP Workshop, Mar 2010, HK
- \* Deliberative Forum, Nov 2010, HK (on 2023 Asian Games bid)
- \* DP Workshop, Jan 2011, Beijing
- \* DP Workshop, Jul 2011, HK
- \* Deliberative Forum, Sep 2011, HK (on LegCo vacancy filling mechanism)
- Deliberative Poll in Macau, Dec 2011
- \* DP Workshop, Jun 2012, HK (parallel workshop with WAPOR HK Conference)
- OCLP Deliberation Series DDay 1 [comprised of Deliberative Poll (DP1) and Deliberative Meeting (DM1)], Jun 2013, HK
- \* Deliberative Forum, May 2013, HK (on 2017 CE Election)
- \* Deliberative Forum, Aug 2013, HK (on landfill extension)
- \* OCLP Deliberation Series DP 2 (on Design of Chief Executive Election), Sep 2013, HK
- \* Deliberative Forum, Mar 2014, HK (on civil nomination)
- \* Deliberative Forum, Sep 2014, HK (on NPC decision on 2017 political reform)

# Occupy Central with Love and Peace (OCLP)



A civil disobedient movement mobilizing 10,000+ citizens to pressure the Central Government for a "genuine" universal suffrage in 2017 for the selection of the region's Chief Executive.

# **Deliberation Projects for OCLP**

Date	Event
9 Jun 2013	DDay1 (DP1 and DM1), to raise questions about the movement
29 Sep 2013	<b>DP2</b> , to discuss the basic principles of 2017 CE Election Design
Oct/Nov 2013	DM2s to discuss 1) Why democracy is important? 2) Basic principles of 2017 CE Election design, and 3) how to make OCLP successful
Jan 2014	E-voting 1 (e-voting warm-up)
May 2014	<b>DDay3 (DM3)</b> , and members only e-voting (to discuss and select the proposals for CE election)
Jun 2014	E-voting 2 (citizens' authorization)

## OCLPDS - Second Deliberation Day (DP2) 29 September 2013





【本報訊】佔領 約 700 遥近四小時的 答問環節及小組討論,商討出七大講題 最多参加者提出如何加强的宣傳·將佔 中發展成全民運動。佔中發起人之一 躍廷直言,作為改變政治文化的起點 首個商討日的成功令他韜一口氣,但又 繁張起來:「要誌七個議題點搞。」下個 商討日將於10月舉行·討論解決方案 初步構思可分散各區舉行。 记者:陳雪玲 鄭厳源 姚国雄

有機會與佔中發起入直接對語,提出質調,壓近四小 示,最平庆有参加者都提出如何加强宣傳,其次是要 討論後決定,但指出電子公投在技術上更具機動性, 將佔中發展成全民運動

### 下個商討日最快 10 月舉行

以及如何面影中央及港府的打聚。

怎可今佔中海以待赚?抗爭態否堅持赤暴 好繁装,躺七個識趣點揭呢。」他说,第二個商訂日 果。有小姐認為担乎佔中的概念很初步,普羅市民感 躁?钻中首场煎封日昨在港 . 最快要在 10 月奉行 · 国時或有别於今次的形式進行 · . 量不太清晰 ·愿考慮如何有效推测钻中的日继及观念 显细行时端,又 如與地區組織合作,在各社區鎮小型商討目。

時商討,歸納出七大議題。佔中另一批起人除健民表 電子公校還是「相級議員」離職公費? 戴说交出市民 表建識用職課,優工。櫃市等方式。

發,如有其主黨或員提出長期權能站兼獲款以加發宣 全支持佔中,有反對佔中市民表示, 出席小組是要表 儒;在大學生到認為要強戰壞決。 另外五點包括有臟政改方案問題,特別是提名委 政府表態,另一參加者說,很聽想像花幾個月甚至一 引起在珊瑚份人士鼓盪。 员會的框成。缺判底線等;建立有公信力的決策機制; 年時間,到孫來只是佔領中環總打花圈,政府极本不 封编进行估中的時機以至何時撤退;加強組織及籌款: 當一回事 - 優邀團體約 600 多人商时的闭時 + 帶大民 學界討論會 + 的有百人参 講中心在商討日舉行一個慎思/商討民意調查,在全 與,為秋天舉行的學界商 戴耀廷直言,作為政治文化改變的就點、首個商 港袖樣近 )00 名市民,分成七個小組討論,隨後每組 討日作準備。

個別小組又擔心中共等組織會施壓及挑釁佔中、並運 支持话中者各有疑問,黎則奮着望了解到底會搞 人讓透製造暴力專端,令和平佔中變質,亦有小組代

市民选先生質疑戴羅廷發起佔中行動;有如行車 医髋多非若多支持佔中,小姐刘揄吟多從策略出 打扙,應整算服機會。隨機抽樣小姐的刘瑜,不是完 医链路递 建偏人意见,但有市民質疑他是為了 100 元車馬費。

此外,準確秘書長陳樹暉表示,準礎明天會舉行

蘋果網腸片 0

"Deliberation Day successfully changes political culture"

### **Apple Daily 10-6-2013**

# 民研商討日參加者贊成公民提名

准大民意研究計劃舉辦第二次面討日。購機 抽樣市民參與討論「特首選單設計」。參與者 在經過多輪會議後、明顯對《基本法》規定 的重要性評價降低,平均下降11,至461 認為整依《國際公約》原則的則持廣在高 位,由82,升至84。參與者相當贊成公民 提名,對沿用上屆選舉委員會別相當反對。

但參與者對「佔領中理」行動並不樂 觀, 商封前有17%認為行動能成功影 響中央,商封後為20%。民研問時以 電話訪問了逾千人,只有6%受訪者 認為行動成功機會大,73%受訪者 認為機會細,與6月時變化不大。

續然多人傾向公民提名,但 行政會議成員 鄭耀棠昨天表示,公民提名納入政政諮詢是做 平其微,《基本法》及人大常委決 請根本沒有提到這建議。政務部司 長林鄭月鏡昨天也指出,政改討論領 在法律框架下進行。但政府消息人士 昨天招,首輪諸調是開放式討論。

民研計劃總監**緩窯爛【圖】**在會後公布民調 結果,發現參與者相當反對沿用上屆還委會的 模式,由不同界別人士產生提名委員會,10分為 非常贊成平均值只有2.7。而討後更誤至2.3。支 持公院提名的,而討前後維持有7.8及7.9分的高 位。多人非常贊成選舉政兩編制,並非常重視《個 際公約》。

就中央政府有權不任命及罷免普適產生的特 首,參與者商討前分別為29及27分,即相當反 對,歸分在商討後略升,鋪認為反對意見減低,但 仍不希望見刻有關情況發生。

### 對「佔中」不樂觀

時天衛討過程全程5個多小時-參與者10人 一起,在小超會議由專人帶領下討論特首選單 設計,並商議稍後向專家提出的問題。之後4位 專家包括教院教授**盧充興**,浸大助理教授**黄偉** 圖,版大講領黃本偉及港大研究經理李偉健,在 全體會議回應小組的問題,由參與者再行深化討

18 \*

17個小組的提問團續提委會的代表性,公民 提名。普選定義,基本法框架及中央任命權。產 兆與提出公民提名與提委會的精英修與有衝 笑,而《基本法》雖操作可彈性處理,但不應輕 易修改,市民要多思考香港普購設計上要走向西 式,或最循序漸纖產生「香港模式」。

黃志偉四應表示, 香港10年的精英參與式 政治,正反映開題所在, 認為《基本法》有運步空 間, 修改與否是「事在人為」, 並肯定「普及而平等」 在關際上的界定清晰。

參與者對佔中成效不樂觀,「佔中」發起人 中大社會系副教授陳鏞民認為結果正常,自言對 行動能否成功也不樂觀,但認問「唔係因為有希 望先做,係要做先有希望」。他指現時「愈做愈有 希望」,形容行動已發揮影響力。

中大政治與行政學系高級講師**藝子強**認為,民調結果與討論氣氛及講者對景有關,指布 民都反對「估中」未必會感激出家,被參與者多 為對「佔中」開放及關心民主人士。

見變化		
商討前 (1為絕不重要	商討後 10為絕對重要)	變化
5.7	4.6	-1.1
8.2	8.4	+0.2
商討前 (1為非常反對	商封後 10為非常贊成)	爱化
7.8	7.9	+0.1
8.1	8.2	+0.1
2.7	2.3	-0.4
2.9	3.4	+0.5
2.7	3.0	+0.3
		18.4
	商討前 (1為總不重要 5.7 8.2 商討前 (1為非常反對 7.8 8.1 2.7 2.9	商封前 商封後   (1為絕不重要10為絕對重要) 5.7 4.6   5.7 4.6 8.2   高封前 商封後 (1為非常反對10為非常贊成)   7.8 7.9 8.1   8.2 2.7 2.3   2.9 3.4



### "POP Deliberation Day participants agree with civil nomination"

Hong Kong Economic Journal 30-9-2013

## **Deliberative Forum (November 2010)**



## **Deliberative Forum (September 2014)**



# **Mass Engagement Projects**

# **PopVote 3.23 Civil Referendum (1)**

- \* To echo with the 2012 CE election held on March 25
- \* On March 23, for the general public to express their support towards different candidates
  - \* Three objectives:
  - \* 1) to provide a multi-dimensional reference for the public and the election committee,
  - \* 2) to construct a civil society by promoting civil participation, and
  - \* 3) to demonstrate the electronic voting system.
- \* Design:
  - \* All local citizens of age 18 or above
  - \* Electronic voting
    - \* via internet or smartphone app
  - designated territory-wide physical polling stations

# **PopVote 3.23 Civil Referendum (2)**

- \* Voting time extended to 18:00 of the next day (March 24), because:
  - \* Overwhelming responses from the public
  - \* System interruption
- \* Final result: 222,990 votes
  - \* 55% "abstention" or "blank vote"
  - \* ->Preference: aborting the CE election

	Number of votes	Percentage
Polling Station	85,154	38%
Smartphone App	71,831	32%
Website (popvote.hk)	66,005	30%
Total	222,990	100%

## **Snapshots – 3.23 Civil Referendum**



## **Snapshots – 3.23 Civil Referendum**



## Incidents – 3.23 Civil Referendum

### Public's view 'will count in CE poll'



A voter in the mock poll at Polytechnic University. Some disabled voters felt insulted after the organisers decided not to count their votes because of a change in the ballot's format. Photo : Edward Wong

### Thousands queue to vote in mock election

Ada Lee ada.lee@scmp.com

Thousands stood in long queues to cast ballots in yesterday's mock election for the city's new leader.

They said the walt was worth the opportunity to express their political views. Some travelled for up to an hour to get to the main polling station. at Polytechnic University, in Hung Hom, only to stand in line when the online voting system broke down.

Many cast blank ballots, saying none of the three candidates would make a suitable chief executive. Others said they cherished the chance to express their views since they had no say in the election on Sunday.

At the university, some people waited for as long as half an hour in the 200-strong queue before they could cast their votes.

A marketing lecturer at the university took the time to vote for Henry none of the candidates had initiatives

to narrow the wealth gap. Of the 16 people the South China Morning Posttalked to, five cast blank votes, four voted for Tang, one for Ho and another for Leung. The others re-

fased to disclose their choice. Separately, some disabled voters said they felt insulted after the mock election's organisers decided not to count their votes because of a change in the ballot's format. About 200 of them voted yesterday before the University of Hong Kong's public upinion programme announced that pa-

per ballots should be placed into envelopes with the voters' identity card numbers written on them. "That's just wrong," said Tony Shing Li-lim from the Hong Kong

Federation of the Blind. He also criticised the organisers

for requiring voters to write their identity card numbers on the enve-

Opinion surveys and the exposure of scandals by media will be major factors in result, experts say

### Colleen Lee colleen.lee@scmp.com

Public opinion and the media's exposure of scandals have shaped the voting preference of the 1.193 Election Committee members like never before, experts say, with the decision on the next chief executive expected tomorrow.

The past months have seen the media expose everything from the illegal basement under a home owned by Henry Tang Ying-yen's wife to the notorious dinner attended by members of Leung Chun-ying's campaign team and a controversial businessman known as "Shanghai Boy". The dinner led to questions about "black gold politics", or collusion with triads.

Many stories were credited to unnamed sources - leading to suspicion that rival candidates or their supporters were behind the negative attacks. Dr Chung Kim-wah, an assistant professor of applied social sciences at

Polytechnic University, said: "[The scandals] had a certain impact on Leung and Tang. But [the question of) whether Election Committee members may change their voting preferences due to public opinion still hangs in the air.

Headded: "In Hong Kong's smallcircle election, most people cannot vote [for their chief executive]. Their views can only be expressed by opinion polls. So beating rivals in popularity polls has become a way for candidates to gain political capital."

The Liberal Party-originally a stronghold of support for its former member Tang, to whom it provided 62 nominations - said on Wednesday its members and allies would be given free choice between casting a blank vote or opting for Tang.

But the party's leaders said they would cast blank ballots to reflect the feelings of the public, party chairwoman Miriam Lau Kin-yee said.

Ocean Park boss Allan Zeman also lopes, saying that was against the

nominated Tang, but said he would have to close the gap to within 20 percentage points of Leung in the polls to ustify voting for him.

Tang, once tipped as Beijing's favoured candidate, enjoyed a slight advantage over Leung in polls in the middle of last year.

But Tang's admission of an extramarital affair in October saw him fall behind Leung, who led by 30 percentage points at the height of Tang's illegal structure row in February.

Chung said the fact that revelations about the 2,200 sq ft basement. at the house in Kowloon Tong dripped out in several big-circulation newspapers over a few days made it. "obvious it was carefully plotted". He said: "The revelation did affect

the election, in particular hitting Tang's popularity.

Chung said the long-running story of Leung's failure to declare a conflict of interests while serving on the judging panel for a 2001 design competition for the West Kowloon arts hub may have raised questions about his integrity.

The row was first reported by Eastweek magazine, part of Sing Tao News Corporation, chaired by Tang nominator Charles Ho Tsu-kwok. The government confirmed later

that an entrant had suspected links with Leung, having named his company DTZ as its property adviser.

Professor Clement So York-kee, of the school of journalism and communication at Chinese University, said media coverage "probably indirectly affected" the public's perception of the candidates.

The general public cannot take part in the [Election Committee] vote, but may reflect their views through opinion polls. The findings can be used for reference for the central government and various sectors," he said.

State leaders including Premier Wen Jiabao (38 # 2) and Wang Guangya (王光亞), director of Beijing's Hong Kong and Macau Affairs Office. say the city's next leader would need a high degree of public acceptance.

### CHIEF EXECUTIVE ELECTION

## **LEUNG ALMOST HOME AS PLEDGES ROLL IN**



People means to vote in the mack chief executive election at a pulling station

### Hackers fail to deter voters in mock CE poll

Ordinary Hongkongers queue patiently to have their

### Ada Lee und Taxes Cheeg

Hackers' amacks in the online polling system for the simulated chief executive election years day failed to drive Hongkringers from questing outside molling statistics for the charace to cast their ballsta, which they are not estitled to in tomarrow's election.

Dr Robert Chung Ting-yit, disretor of the Public Optition Programme at the University of Hong Kong, said unusual activity had been restored in the system abortly after 7am. Companers insuld not connect to the University of Huma Korg/samvey, and the main polling station at Polysechnic Innersity weitched from electronic to paper hallots at areared 16.30am.

Former Executive Council convenor sees number of supporters swell, while Tang faces an uphill battle in gaining backers for him to become HK's next leader

### Tanna Chong and Emily Tang

Leang Class-ying will probably see ore at least the minimum 600 votes he needs to win the chief executive elecsize tomorrow

The ranks of his backers continand to increase posterday, as several to some to be a local set of the source of their members on the Election Commilities would cast their hallots for the former Energier Council community. now widely regarded as Desized's preferred change.

They included the Democratic Aliance for the Betterment and Propress of Horst Kong, and mean in the eligious and the cultural subsectors. By day's end. Loung had secured. tween 353 and 643 years, accord.

ing to a count by the Pist DAD chairman Turn The-ching and the party had agreed to support Leang - during an hour-long central itsy meeting-and to "achieve all 147 of the members on the Election Committee to your accordingly.

When asked whether its earn beau muld be compelled to support Leving, and if there was a penalty for tolators, Tam and 'We believe 'advise is the best would to use, as the 147 nembers may be stating at different timers, and early they will know when they voted for. I have full confidence that all 147 resembers will treasure the party's advice when they vote."

The party hoped to avoid an insectors of farms wanted whether no candidate whith the minimum 601 overs, he said. That wrick! bring uncertainty to the city and women its ation) series of social disforments In coplaining why Leurog woo the party a support, Tunz aakt. "He left a



member Lee Kok keung. "We have reached a conversan to onte fui Leurg, including the siz-members who earlier pominated Tang," midles.

Targcalled in votesto "casi their distance or disation the theter correctments?

At one long queue at Polytechs University last night, teacher Tedman Chan said he was disappointed that the website was heirst hacked on it had undermined freedom of expenssion. "I want my volce heard, wen though I have to wait lot 30 min-

utes farm after week." Chan said. A 48 year-old man, who only electified himself as Chin, said he particular to cast his vote after finishing

orking in Shenzhen "If I don't vote now, I'm atraid I won't have chance to express my chickee in Sumaro," he said.

The pan-democrate' chief mercumer candidate. Albert Ha Chan-van, number to available profilery of the heavitym 9.30am to first the system out of actions. He used the orcasion for a little

Images from SCMP

very good impression with all party monders he met. We agree with his

policy plathers." that uncertainties some voters, including the Chinese medicine subsector, the 25-member

engineering group and tytuon volers, have yet to decide who to After learning about the DAB's pport, Lexing said he would saik forther support "until the last mo-

Insuing a lotter to Election Commattee members for the third day to a yow to convince that to of his situation Learny strenard he would work with all sectors to belid a democratic election culture and strive to "asconcile the crucks caused by the election"

Fortheral 60 electron firses the relightm notween itor - with atmage perc-fleri First backgrounds - decided to shift their support from Henry Tang Ving yen to Leang. Most of the members from Mus-

lim, Taoist, Confurian and Buddhini groups sever brightally strong Tang supporters, since he was believed to be Belling's favourite. Now scene of these supporters acknowledge re-oriving ishat they called "a different message from officials in the central ournations" over the past low days.

"The message we received suggented we your according to the pup arity of the candidate," said Has Wing-cheong, from the Hong Rung Tarrist Association, "It is clearly a change of mind from the former favourite, and I think a mejority will vote according to the will of those

higher up." Members of the four religious coups will have a final meeting to mow manufagito decide on a unit-

The cultural soluce for sell size all

its 14 yokes to Lenng, according to

# **6.22 Civil Referendum (1)**

- \* Objective:
  - to encourage members of the general public to vote on the following two motions on 6.20-6.20-29 Civil Referendum
    - \* 1. Issue related to constitutional reform proposals
    - \* 2. Issue related to the principles of constitutional reform
- \* Voters:
  - \* Hong Kong permanent residents aged 18+
- \* Voting channels:
  - \* Website
  - \* Mobile apps
  - Polling stations
    - \* 23 polling stations in various parts of HK
    - \* 1 overseas polling station in Toronto
    - \* Polling stations voting hours: 10:00 22:00



# **6.22 Civil Referendum (2)**

- \* Regarding repeated voting, the votes cast at onsite polling stations shall prevail in the final vote count, while the first paper ballot submitted to the system shall prevail for repeated paper ballots
- \* Final result: 792,808 votes

	Number of votes
Website (popvote.hk)	486,142
Mobile Apps	235,897
Local On-site Polling Stations	70,378
<b>Overseas On-site Polling Station</b>	391
Total	792,808

## **Snapshots of 6.22 Civil Referendum**



# Some reflections: Hong Kong then and now...

# **More Reflections...**

- \* Hong Kong = a society in transition
- \* Hong Kong = where East meets West
- \* New media, new technology, new people
- \* New methodologies, new standards
- \* New ways to resolve local, regional, international conflicts
- \* "The New World of Public Opinion Research"
- \* JAPOR, ANPOR, WAPOR...

End of Presentation. Thank you!